

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.

00-058

In Re Application Of: JAMES WALKER et al.

Serial No.

09/672,066

Filing Date

September 28, 2000

Examiner

Not Yet Assigned

Group Art Unit

2162

Title: METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION  
AND TERMINAL FOR PERFORMING THE METHOD

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Request for Corrected Filing Receipt, 2 pp.;  
Red-lined copy of Filing Receipt, 2 pp.;  
Copy of Utility Patent Application Transmittal, 4 pp; and  
Return Receipt Postcard.

RECEIVED

OCT 1 1 2001

Group 2100

in the above identified application.

- No additional fee is required.  
 A check in the amount of \_\_\_\_\_ is attached.  
 The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271  
as described below. A duplicate copy of this sheet is enclosed.  
 Charge the amount of \_\_\_\_\_  
 Credit any overpayment.  
 Charge any additional fee required.

Signature

Dated: July 12, 2001

Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
203.461.7337/phone  
203.461.7300/fax

Customer No. 22927

Alderucci@WalkerDigital.com

CC:

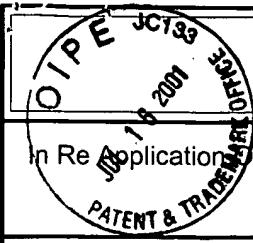
I certify that this document and fee is being deposited  
July 12, 2001 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence

*Receipt*



TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.

00-058

In Re Application of: JAY S. WALKER et al.

**FILE COPY**

#4

Serial No.

09/672,066

Filing Date

September 28, 2000

Examiner

Not Yet Assigned

Group Art Unit

2162

Title: **METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

**RECEIVED**

Transmitted herewith is:

OCT 1 1 2001

Request for Corrected Filing Receipt, 2 pp.;

Group 2100

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in the above identified application.

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Dated: July 12, 2001

Dean P. Alderucci  
Attorney for Applicants  
PTO Registration No. 40,484  
203.461.7337/phone  
203.461.7300/fax

Customer No. 22927

Alderucci@WalkerDigital.com

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first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

Veronika S. Leliever

Typed or Printed Name of Person Mailing Correspondence



O I P E  
JUL 16 2001  
PATENT & TRADEMARK OFFICE  
UNITED STATES PATENT AND TRADEMARK OFFICE

NPS

Page 1 of 4

COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/672,066	09/28/2000	2162	1086	00-058	10	29	6

22927  
WALKER DIGITAL  
FIVE HIGH RIDGE PARK  
STAMFORD, CT 06905

FILING RECEIPT

\*OC000000005592094\*

RECEIVED

Date Mailed: 12/02/00 1 2001

Group 2100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay S. Walker, Ridgefield, CT ;  
Daniel E. Tedesco, Westport, CT ;  
Stephen C. Tulley, Stamford, CT ;  
John M. Packes, Jr., Hawthorne, NY ;  
Keith Bemer, New York, NY ;  
James A. Jorasch, Stamford, CT ;  
Russell P. Sammon, Stamford, CT ;  
Michiko Kobayashi, Stamford, CT ;

Continuing Data as Claimed by Applicant

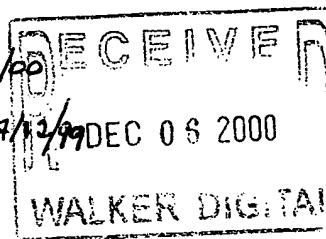
THIS APPLN CLAIMS BENEFIT OF 60/230,676 09/07/00  
WHICH IS A CIP OF 09/219,267 12/23/1998  
WHICH IS A CIP OF 08/943,483 10/03/1997  
WHICH IS A CIP OF 08/923,683 09/04/1997  
WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169  
WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207  
AND A CIP OF 09/100,684 06/19/1998  
WHICH IS A CIP OF 08/982,149 12/01/1997  
AND A CIP OF 08/994,426 12/19/1997  
WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099  
WHICH IS A CIP OF 08/822,709 03/21/1997

AND A CIP OF 09/526,907 03/16/00

Foreign Applications

WHICH CLAIMS BENEFIT OF 60/143,490 07/11/99 DEC 06 2000  
AND IS A CIP OF 09/219,267 12/23/98

If Required, Foreign Filing License Granted 11/21/2000



**Title**

**AND**

Method for allowing a customer to obtain a discounted price for a transaction & terminal for performing the method

**Preliminary Class**

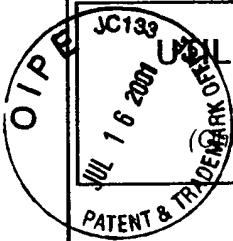
705

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Data entry by : MUSLIM, ALIA

Team : OIPE

Date: 12/01/2000



**UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
00-058

Total Pages in this Submission  
66

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application  
Washington, D.C. 20231

**COPY**

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD**

and invented by:

Jay S. WALKER; Daniel E. TEDESCO; Stephen C. TULLEY; John M. PACKES, JR.; Keith BEMER;  
James A. JORASCH; Russell P. SAMMON; Michiko KOBAYASHI;

**RECEIVED**

OCT 11 2001

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

**Group 2100**

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: 60/230,676

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: 09/219,267

Which is a:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: 09/943,483

CONTINUED ON PAGE 4

Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
2.  Specification having 35 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Microfiche Appendix (*if applicable*)
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if drawings filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
00-058

Total Pages in this Submission  
66

**Application Elements (Continued)**

3.  Drawing(s) (*when necessary as prescribed by 35 USC 113*)

a.  Formal Number of Sheets 10 (Figs. 1-10)  
b.  Informal Number of Sheets \_\_\_\_\_

4.  Oath or Declaration

a.  Newly executed (*original or copy*)  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)  
c.  With Power of Attorney  Without Power of Attorney  
d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (*usable if Box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  Computer Program in Microfiche (*Appendix*)

7.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)

a.  Paper Copy  
b.  Computer Readable Copy (*identical to computer copy*)  
c.  Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8.  Assignment Papers (*cover sheet & document(s)*)

9.  37 CFR 3.73(B) Statement (*when there is an assignee*)

10.  English Translation Document (*if applicable*)

11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Acknowledgment postcard

14.  Certificate of Mailing

First Class  Express Mail (*Specify Label No.:* EL510722457US)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
00-058

Total Pages in this Submission  
66

**Accompanying Application Parts (Continued)**

15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

16.  Additional Enclosures (*please identify below*):

Addendum page 4  
Postcard

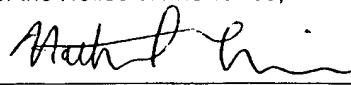
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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	29	- 20 =	9	x \$18.00	\$162.00
Indep. Claims	6	- 3 =	3	x \$78.00	\$234.00
Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				\$0.00
				BASIC FEE	\$690.00
OTHER FEE (specify purpose)					\$0.00
				TOTAL FILING FEE	\$1,086.00

- A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \$1,086.00 as filing fee.
  - Credit any overpayment.
  - Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Nathaniel Levin  
 Attorney for Applicants Reg. No.: 34,860  
 Telephone # (203) 461-7114  
 22927



22927

PATENT TRADEMARK OFFICE

Dated: September 28, 2000

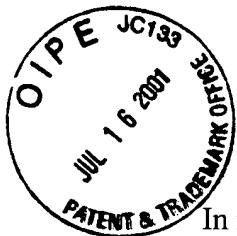
cc:

UTILITY PATENT APPLICATION TRANSMITTAL (LARGE ENTITY)

Continued from page 1

In addition I hereby claim the benefit of 35 U.S.C. Section 120 of the following United States applications:

<b>Application Serial No.</b>	<b>Filing Date</b>	<b>Status</b>
Which is a continuation-in-part of 08/923,683	September 4, 1997	Pending
Which is a continuation-in-part of 08/889,319	July 8, 1997	Pending
Which is a continuation-in-part of 08/707,660	September 4, 1996	Patented
Which is a continuation-in-part of 09/100,684	June 19, 1998	Pending
Which is a continuation-in-part of 08/982,149	December 1, 1997	Pending
Which is a continuation-in-part of 08/994,426	December 19, 1997	Pending
Which is a continuation-in-part of 08/920,116	August 26, 1997	Pending
Which is a continuation-in-part of 08/822,709	March 21, 1997	Pending
Which is a continuation-in-part of 09/526,907	March 16, 2000	Pending
Which claims benefit of provisional 60/143,490	July 12, 1999	
Which is a continuation-in-part of 09/219,267	December 23, 1998	Pending



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. Walker et al. ) Customer No.: 22927  
)  
For: METHOD FOR ALLOWING A ) Examiner: Not Yet Assigned  
CUSTOMER TO OBTAIN A )  
DISCOUNTED PRICE FOR A )  
TRANSACTION AND TERMINAL FOR )  
PERFORMING THE METHOD )  
)  
Serial No.: 09/672,066 ) Group Art Unit: 2162  
)  
Filing Date: September 28, 2000 ) Docket No.: 00-058

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OCT 1 1 2001  
Group 2100

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

## REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the following:

1. The correct title as "METHOD FOR ALLOWING A CUSTOMER TO OBTAIN A DISCOUNTED PRICE FOR A TRANSACTION AND TERMINAL FOR PERFORMING THE METHOD";
2. Continuing Data as Claimed by Applicant to read:

THIS APPLN CLAIMS BENEFIT OF 60/230,676 09/07/00

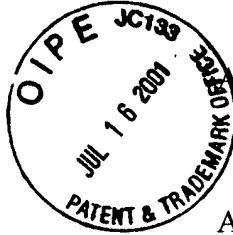
WHICH IS A CIP OF 09/219,267 12/23/1998

WHICH IS A CIP OF 08/943,483 10/03/1997

WHICH IS A CIP OF 08/923,683 09/04/1997

WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169

WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207



AND A CIP OF 09/100,684 06/19/1998

WHICH IS A CIP OF 08/982,149 12/01/1997

AND A CIP OF 08/994,426, 12/19/1997

WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099

WHICH IS A CIP OF 08/822,709 03/21/1997

**AND A CIP OF 09/526,907 03/16/00**

**WHICH CLAIMS BENEFIT OF 60/143,490 07/12/99**

**AND IS A CIP OF 09/219,267 12/23/1998**

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OCT 11 2001

Group 2100

The requested corrections have been indicated in red on the enclosed copy of the official Filing Receipt. Please issue a corrected Filing Receipt in due course.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$0.00 to Deposit Account No. 50-0271. Order No. 00-058. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 00-058. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Dean P. Alderucci".

July 12, 2001  
Date

Dean P. Alderucci  
Attorney for Applicants  
PTO Reg. No. 40,484  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905-1326  
(203) 461-7337 (phone)  
(203) 595-8266 (fax)



## UNITED STATES PATENT AND TRADEMARK OFFICE

~~FILE COPY~~

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 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)



Bib Data Sheet

CONFIRMATION NO. 7007

SERIAL NUMBER 09/672,066	FILING DATE 09/28/2000 RULE	CLASS 705	GROUP ART UNIT 2162	ATTORNEY DOCKET NO. 00-058
-----------------------------	-----------------------------------	--------------	------------------------	----------------------------------

## APPLICANTS

Jay S. Walker, Ridgefield, CT;  
 Daniel E. Tedesco, Westport, CT;  
 Stephen C. Tulley, Stamford, CT;  
 John M. Packes, Jr., Hawthorne, NY;  
 Keith Bemer, New York, NY;  
 James A. Jorasch, Stamford, CT;  
 Russell P. Sammon, Stamford, CT;  
 Michiko Kobayashi, Stamford, CT;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLN CLAIMS BENEFIT OF 60/230,676 09/07/2000  
 AND A CIP OF 09/219,267 12/23/1998 *for (9/7/00)*  
 WHICH IS A CIP OF 08/943,483 10/03/1997  
 WHICH IS A CIP OF 08/923,683 09/04/1997  
 WHICH IS A CIP OF 08/889,319 07/08/1997 PAT 6,085,169  
 WHICH IS A CIP OF 08/707,660 09/04/1996 PAT 5,794,207  
 THIS APPLICATION 09/672,066  
 IS A CIP OF 09/100,684 06/19/1998  
 WHICH IS A CIP OF 08/982,149 12/01/1997 PAT 6,196,458  
 AND A CIP OF 08/994,426 12/19/1997  
 WHICH IS A CIP OF 08/920,116 08/26/1997 PAT 6,119,099  
 WHICH IS A CIP OF 08/822,709 03/21/1997 PAT 6,267,670  
 AND A CIP OF 09/526,907 03/16/2000  
 WHICH CLAIMS BENEFIT OF 60/143,490 07/12/1999  
 AND A CIP OF 09/219,267 12/23/1998

\*\* FOREIGN APPLICATIONS \*\*\*\*\* *now for*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 11/21/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY CT	Sheets Drawing 10	TOTAL CLAIMS 29	INDEPENDENT CLAIMS 6
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after <i>Allowance</i>				
Verified and Acknowledged	<i>[Signature]</i> Examiner's Signature	Initials			

## ADDRESS

22927

## TITLE

Method for allowing a customer to obtain a discounted price for a transaction and terminal for performing the method

 All Fees

FILING FEE  
RECEIVED  
1086

FEES: Authority has been given in Paper  
No. \_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT  
No. \_\_\_\_\_ for following:

- |  |
|--|
| <input type="checkbox"/> 1.16 Fees ( Filing )                  |
| <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |
| <input type="checkbox"/> 1.18 Fees ( Issue )                   |
| <input type="checkbox"/> Other _____                           |
| <input type="checkbox"/> Credit                                |